

Klingenstein Fields Wealth Advisors' SVP Jonathan B. Roberts, CFA, CIC, Delivers Opening Remarks at Investment Adviser Association's 2016 Annual Leadership Conference

NEW YORK, N.Y., May 11, 2016 (SEND2PRESS NEWSWIRE) – Jonathan B. Roberts, Chief Compliance Officer and Senior Vice President at KFWA (Klingenstein Fields Wealth Advisors), a leading wealth management firm with approximately \$3 billion in assets under management, welcomed attendees with insightful opening remarks at the Investment Adviser Association's (IAA) 2016 Annual Leadership Conference.

Mr. Roberts discussed the strong growth in the investment adviser industry and the challenges that accompany it, including heightened regulatory and public scrutiny, greater competition and the increased complexity of investment management.

According to Mr. Roberts, regulatory oversight, in particular, is a topic of focus from an array of organizations and agencies, from the SEC and FINRA to banking regulators, the Treasury and the Financial Stability Oversight Council, as well as the Department of Labor, which has recently expanded their definition of fiduciary.

"The demographics of an aging population are engendering an increased focus on retirement issues and the need for advice," stated Mr. Roberts. He went on to talk about the crucial role that the IAA plays in working with legislators and regulators in representing the investment adviser community, helping to develop appropriately tailored regulation, as well as to uphold fiduciary standards.

"A core part of the IAA's mission is to promote and preserve the highest standard of fiduciary duty that is the bedrock of the Investment Advisers Act of 1940," Mr. Roberts remarked.

Mr. Roberts urged attendees to remember that "fiduciary advice is critical for clients and their financial futures." He closed by reviewing the critical role the IAA plays in advocating for investment advisers and their clients, reminding participants of how important it is to get involved and work together to "strengthen their individual businesses and the overall standing of our profession."

Mr. Roberts serves as Chair of the IAA's Board of Governors.

More information: <http://www.klingenstein.com/>.

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